

*Usage Based Access  
Control Model  
(UCON)*

*KIT-Applied  
Information Security  
Lab (AIS)*



Presented by: Ayesha Kanwal

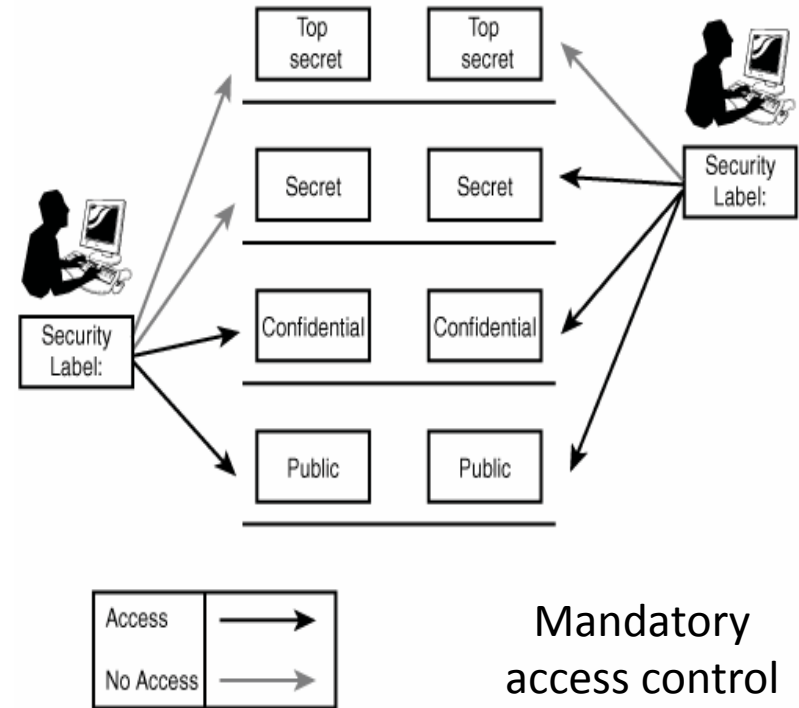
# Agenda


- *Traditional Access Control Models*
- *Motivation for UCON*
  - 1- *Trust Management*
  - 2- *Digital Rights Management*
- *UCON Model Coverage*
- *UCON Model Components*
- *UCON-(ABC) Model Space*



# Traditional Access Control Models

- Based on access control list and capability list
- Protect information against previously known users
- Access Rights are predefined





*Motivation for Usage  
Based Access Control  
Model (UCON)*



# 1- Trust Management

- TM deals with **authorization process** in distributed systems environment for the access of users who are previously **unknown** to the system
- Trust management does not utilize identity of a subject for authorization process. Rather, it utilizes **capabilities or properties of a subject** for authorization decisions.



## 2- Digital Rights Management (DRM)

- Controlling and tracking access to and usage (including dissemination) of digital information objects.
- Securing digital object itself, not the transmission.



# Problems



- Traditional access control models are not adequate for today's **distributed, network connected digital** environment.
- Authorization only
- Decision is made before access – No ongoing control
- No consumable rights –
- Rights are pre-defined and granted to subjects



# Problems (Cont.)



- No access control models available for **DRM**.
- Recently enhanced models are not comprehensive enough to resolve various shortcomings.
- Need for a **unified model** that can encompass traditional access control models, DRM and other enhanced access control models.





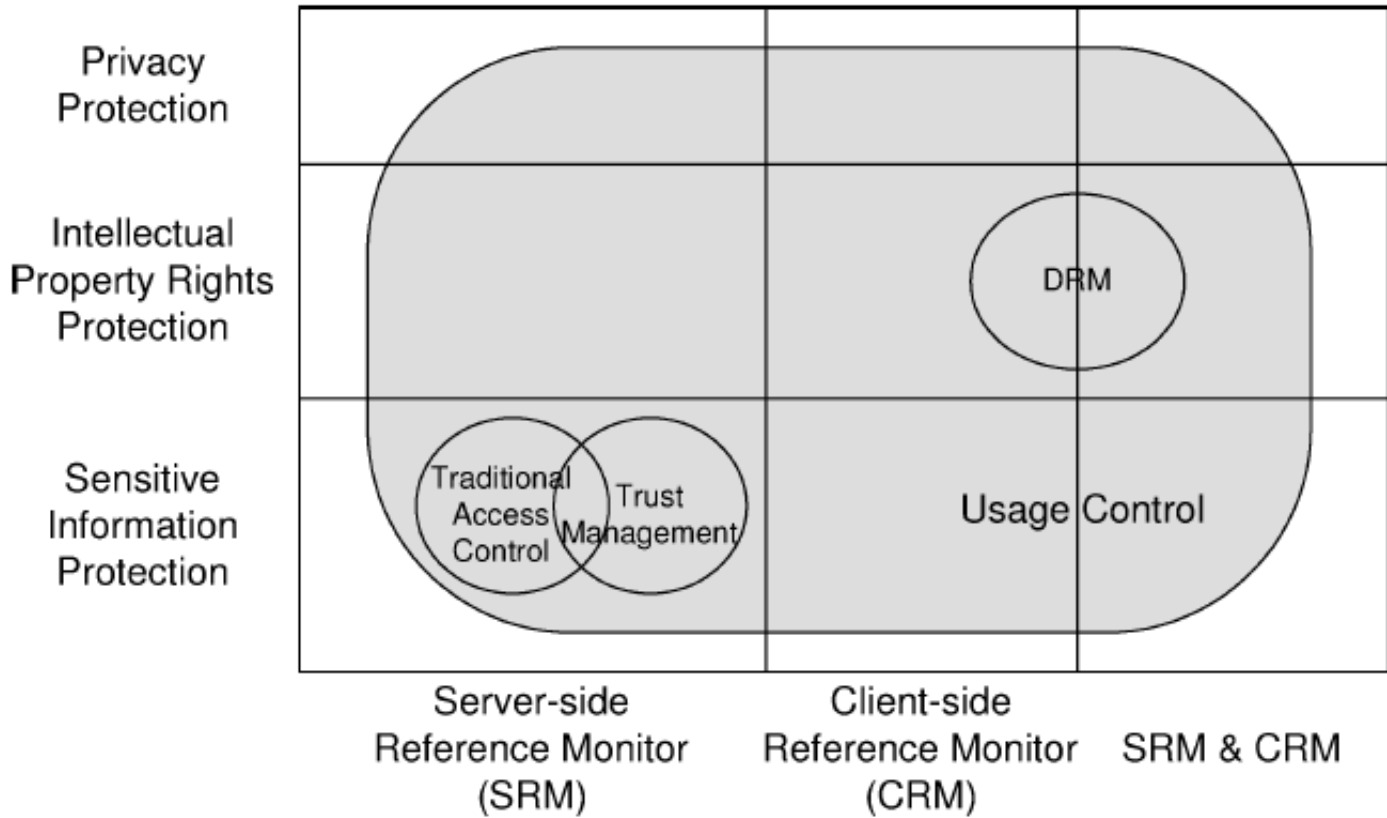


# Motivation

- Highly dynamic and distributed computing environments require flexible AC.
- Unknown or partial authenticated users.
- Multi-aspects of access control decisions
  - Obligations
  - Environmental conditions
- Continually control
- Access is has a duration - usage
- Dynamics of subject and object attributes



# Usage Control (UCON) Coverage

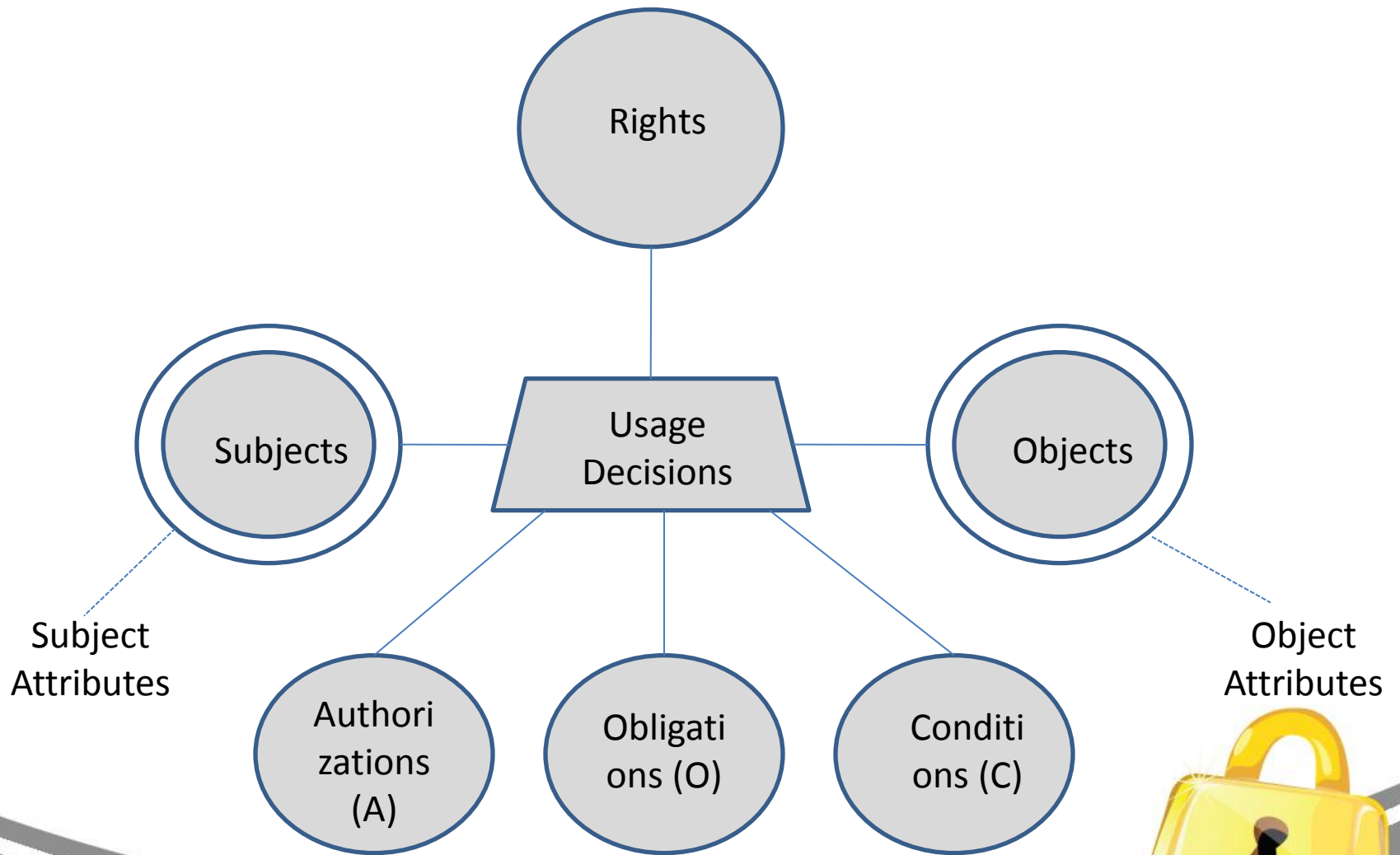


# UCON model

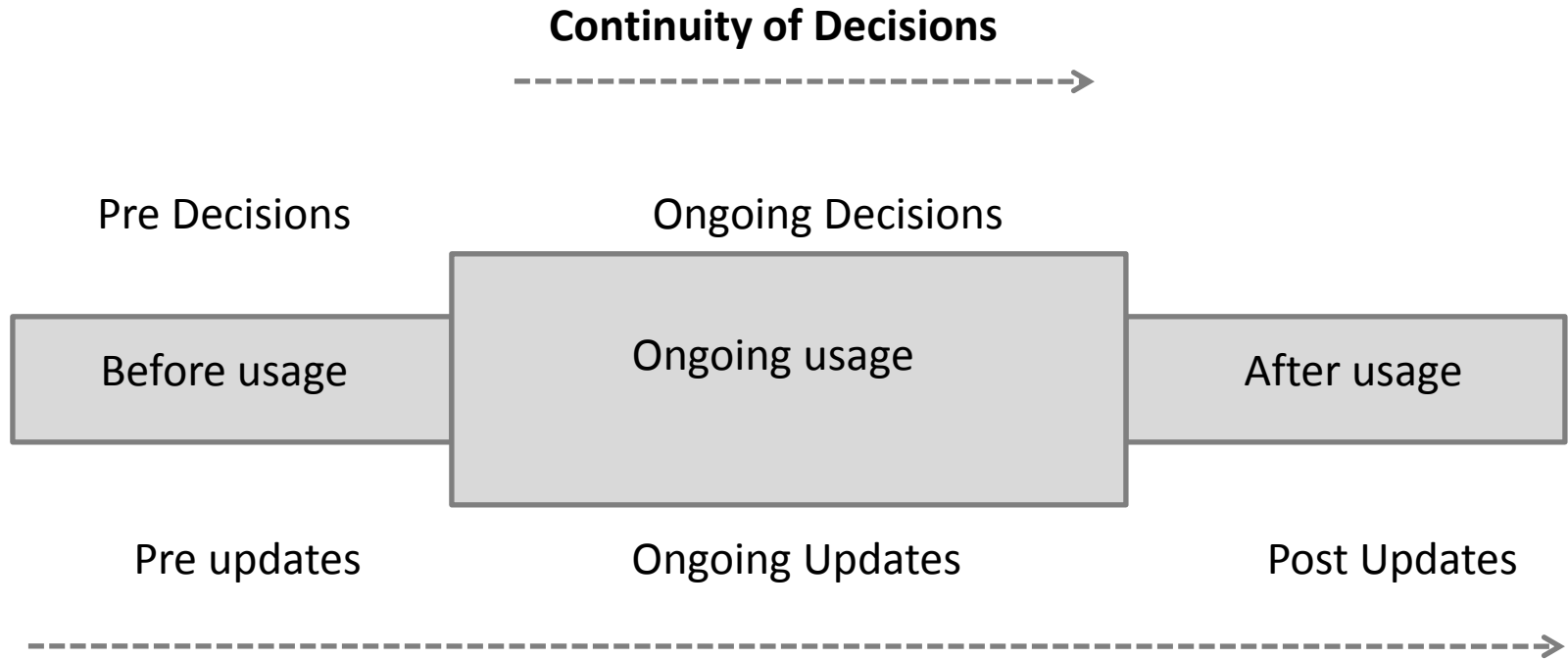
- Flexible and comprehensive as access decision is based on **multiple factors**:
  - ✓ Authorization
  - ✓ Obligations
  - ✓ Conditions
- **Decision Continuity**- access duration (usage)
- **Attributes Mutability** -(subjects, objects)



# UCON Model Components



# Continuity and Attribute mutability



**Mutability of Attributes**



# Subjects (S)

- Entities associated with attributes, and hold and exercise certain rights on objects
- **Consumer, Provider, Identifiee** subjects
- ✓ Consumer subjects are entities who exercise the rights to access the objects e-g e-book reader, MP3 music listener.
- ✓ Provider subjects are entities who provide an object and hold certain rights on it e-g author of an e-book.
- ✓ Identifiee subjects are entities who are identified in digital objects that include their privacy sensitive information e-g a patient in healthcare system.



# Subject Attributes (ATT(S))

- Properties of a subject that can be used for the usage decision process
  - identity, role, credit, membership, security level, capability, etc.
- Immutable attributes: can be changed only by administrative action
- Mutable attributes: can be modified as a side effects of subject's access to objects (credit, clearance with high watermark, access time, etc.)



# Objects (O)

- Entities that subjects hold rights on.
- Associated with attributes, either by themselves or together with rights.
- Security sensitive objects
- Privacy sensitive objects
- Original vs. derivative objects





# Object Attributes (ATT(O))

- Properties of an object that can be used for the usage decision process
- Security classification, role, price, etc.
- Immutable and mutable attributes



# Rights (R)

- A subject's privilege on an object
- A set of usage functions that enables a subject's access to objects
- May or may not have a hierarchy
- Existence of right is determined when access is attempted by a subject (not by a predefined access matrix)



# Decision Factors and Decision Properties

- 3 Decision Factors
  - ✓ Authorizations (A)
  - ✓ Obligations (B)
  - ✓ Conditions (C)
- A, B, and C are functional predicates used for usage decision making.
- 2 Decision Properties
  - ✓ Mutability
  - ✓ Continuity



# Authorizations (A)

- Functional predicates that have to be evaluated for usage decision based on subject and object attributes and the requested specific right
  - ✓ **preA**: decision is made prior to the access
  - ✓ **onA**: decision is made during the access
- Updates on Attributes: pre, ongoing, post
  - ✓ **preUpdate**: High watermark policy
  - ✓ **onUpdate**: Pre-paid credit for time-based metering
  - ✓ **postUpdate**: Metered usage payment



# oBligations (B)

- Functional predicates that verify mandatory requirements a subject has to perform before or during a usage exercise.
  - ✓ **preB** utilizes history function to check if certain activities have been fulfilled or not.
  - ✓ **onB** predicate has to be satisfied continuously during usage. (a user has to watch an ad window while using free Internet services)

1-Continuously

2-Periodically

3-conditionally

- Updates on Attributes: preUpdate, onUpdate, postUpdate



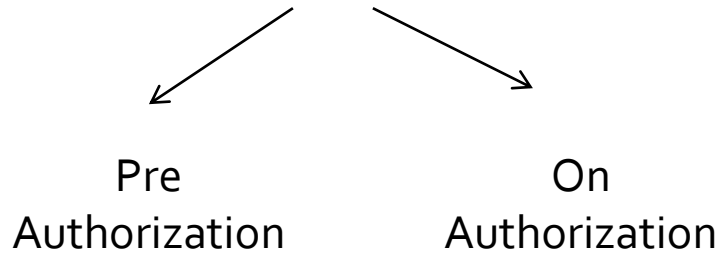
# Conditions (C)

- Evaluate current environmental or system status for usage decision
  - ✓ **preC**: condition is checked before usage
  - ✓ **onC**: condition has to be satisfied while usage
- Attributes can be used to select which condition requirements has to be satisfied
- No attribute updates
- Time period (Office hour), location (area code, CPUid, IP address), system status (normal, high alert, under attack), etc.

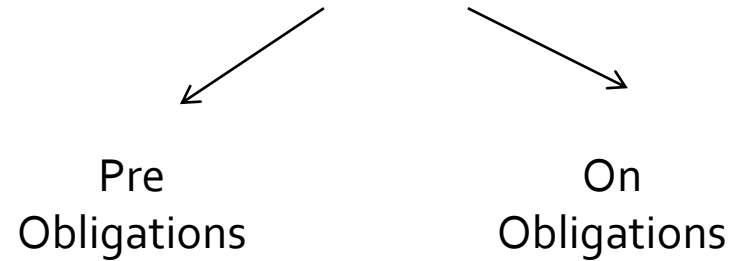


# Decision factors in UCON

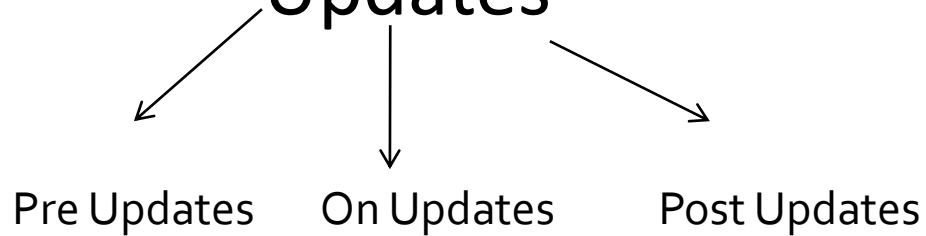
## Authorization



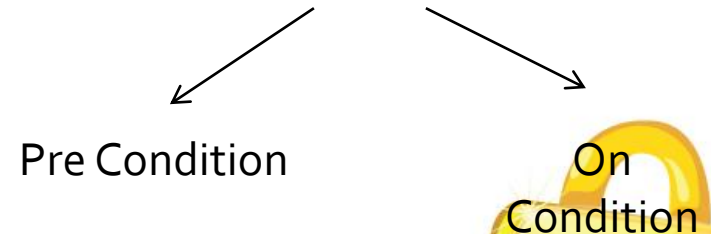
## Obligations



## Updates



## Conditions



# 16 Basic UCON-(ABC) Models

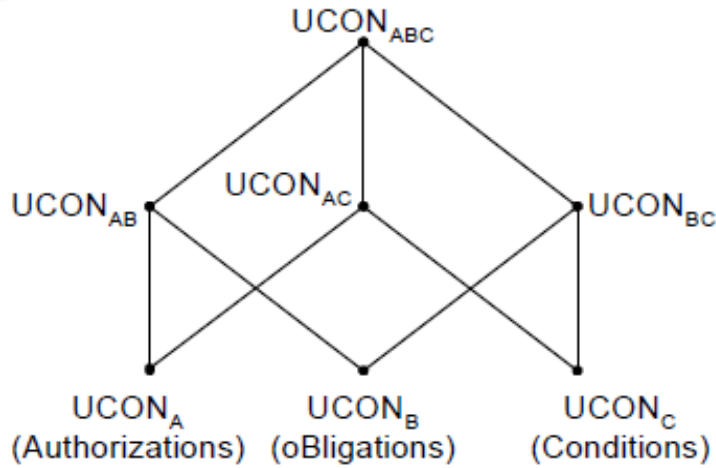
	0(Immutable)	1(pre)	2(ongoing)	3(post)
preA	Y	Y	N	Y
onA	Y	Y	Y	Y
preB	Y	Y	N	Y
onB	Y	Y	Y	Y
preC	Y	N	N	N
onC	Y	N	N	N

N : Not applicable

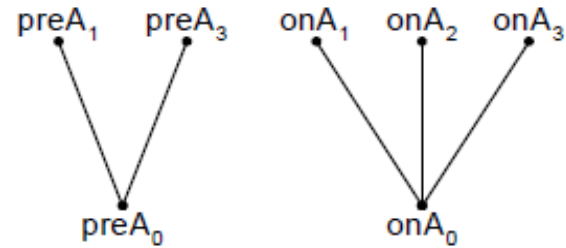




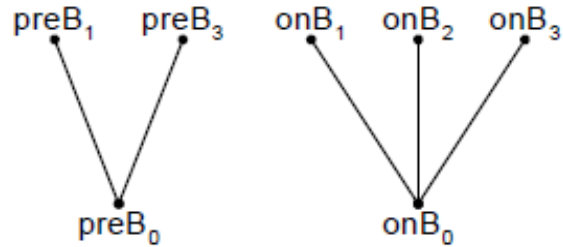
# A Family of UCON-(ABC) Core Models



(a)



(b)



(c)



(d)



# Examples

- Member of a digital music library. Suppose she has to pay \$1/hour of music play (pre-authorization with post-update)
- Pre-paid phone card (ongoing-authorization with ongoing-update)
- Click Ad within every 30 minutes (ongoing obligation with ongoing-updates)
- Business Hour (pre-/ongoing-condition)





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